

QUALIFIED ASSETS TRANSFER/ ROLLOVER AUTHORIZATION

This form is to be completed in addition to a Rollover/Plan to Plan Transfer Election

T O	CURRENT CARRIER OR CUSTODIAN		PHONE NUMBER OF FINANCIAL INSTITUTION ()	
	ADDRESS	CITY	STATE	ZIP CODE
R E	ANNUITY / ACCOUNT NUMBER		NAME OF INVESTMENT OR MATURITY, IF APPLICABLE	
	ACCOUNTHOLDER'S NAME		DATE OF BIRTH	
	JOINT ACCOUNTHOLDER'S NAME, IF APPLICABLE			

Check the Plan Type that the funds are coming from:

- Traditional IRA Simple IRA Simple IRA must have been in force by employer for 2 years prior to this transaction.
 Qualified Retirement Plan SEP TSA

Signatures

Please make the check payable to: Matrix Trust Co., f/b/o

(Accountholder)

This transfer or direct rollover is to be executed from fiduciary to fiduciary in such a manner that it will not place me in actual or constructive receipt of all or any part of the assets.

ACCOUNTHOLDER'S OR PLAN REPRESENTATIVE X		JOINT ACCOUNTHOLDER'S SIGNATURE, IF APPLICABLE X	
DATE	HOME PHONE NUMBER ()	BUSINESS PHONE NUMBER ()	
ADDRESS	CITY	STATE	ZIP CODE

All checks should be sent to:

Regular Mail:

Matrix Trust Company
Attn: TPA 005315
P.O. Box 3595
New York, NY 10008

Overnight Delivery:

JPMorgan Chase – Lockbox Processing
Attn: Matrix Trust (DEN) – Lockbox 3595
4 Chase Metrotech Center
7th Floor East
Brooklyn, NY 11245

For the Plan Representative to Complete

ACCEPTANCE

This certifies that _____
(Name)

has established an account under a qualified retirement plan. The Plan Representative hereby agrees to accept the transfer of assets or direct rollover of assets, and confirms the assets funding this qualified retirement plan have consistently met the requirements of IRC §401. If the rollover is coming from a retirement plan, you can verify that the rollover is from a qualified plan by searching the Department of Labor's EFAST2 database at www.efast.dol.gov for the most recently filed Form 5500 for the distributing plan. Make sure that Line 8a of Form 5500 does not include Code 3C. If the rollover is coming from an IRA, the check stub should say "IRA for [name of employee]" as the source of the funds. For more details, see IRS Revenue Ruling 2014-9.

AUTHORIZED SIGNATURE X	
PRINT NAME AND TITLE	DATE
PLAN NAME	CONTRACT / PLAN NUMBER